# Received by NSD/FARA Registration Unit 12/21/2011 12:08:07 PM OMB NO. 1124-0002; Expires February 28, 2014

U.S. Department of Justice

Washington, DC 20530

## **Supplemental Statement** Pursuant to the Foreign Agents Registration Act of 1938, as amended

•	For Six Month F	eriod Ending Nov			
	_	DECICED AND	(Insert date)		
	, 1.	- REGISTRANT	ľ .		
1. (a) Name of Registrant		(b) Registratio	n No.		
Orion Strategies LLC		5437			
(c) Business Address(es) of Registran 918 Pennsylvania Ave SE Washington DC 20003	ı <b>t</b>			. **	
2. Has there been a change in the informa-	ation previously f	urnished in connec	tion with the	following?	
<ul><li>(a) If an individual:</li><li>(1) Residence address(es)</li><li>(2) Citizenship</li><li>(3) Occupation</li></ul>	Yes  Yes  Yes  Yes	No 🗌 No 🔲 No 🖂		•	
<ul><li>(b) If an organization:</li><li>(1) Name</li><li>(2) Ownership or control</li></ul>	Yes □ Yes □	No ⊠ No ⊠			
(3) Branch offices	Yes □	No ⊠			
(c) Explain fully all changes, if any,	indicated in Item	s (a) and (b) above	•		
		• .			
				٠.	
IF THE REGISTRAN  3. If you have previously filed Exhibit C					
Yes \( \square\) No \( \square\)	4 5 1 7 7 60	· · · ·	N (7)	•	
If yes, have you filed an amendment to		Yes 🗆	No 🗌		•
If no, please attach the required amend	iment.				
		. •			

The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

(PAGE 2)

If yes, furnish the foll	owing information:	•		
Name		Position	Date Con	nection Ended
		•		
•			•	
) Have any persons beco Yes	ome partners, officers, directors on No ⊠	or similar officials during this 6 m	nonth reporting period	?
If yes, furnish the foll	owing information:	•		
Name	Residence Address	Citizenship	Position	Date Assumed
•		•	•	
				.*
. Use only narron named	(in Itam 4(h) randarad garriage d	irectly in furtherance of the intere	acts of any forcion pri	noino19
Has any person named Yes $\square$	No   No	needy in furtherance of the intere	eses of any foreign prin	norpar:
	uch person and describe the servi	ice rendered		•
ii yes, identify eden s	son person and describe are servi	co rendered.		
		•		
Name	ted or similar capacity? Yes  Residence Address	□ No ☑ Citizenship	Position	Date Assumed
Name	Residence Fudices	Citizensinp	Tosition	Dute / Issumed
		•		•
		•		
	or individuals, who have filed a significant during this 6 month repo	hort form registration statement, to	terminated their emplo	oyment or
If yes, furnish the follo				
Name		Position or Connection	Da	te Terminated
				1 01111111111111
			and the second	
			•	
		v		
	or individuals, who have filed a sland month reporting period? Yes	hort form registration statement, t ☐ No ⊠	terminated their conne	ection with any forei
principal during this 6	owing information:		•	
		n Foreign Principa	al Da	te Terminated
lf yes, furnish the follo	Docition or Connection		ıı Da	io reminiateu .
	Position or Connectio	n Poteign i inicipa		
lf yes, furnish the follo	Position or Connectio	n Poteign i inicipa		
lf yes, furnish the follo	Position or Connectio	n Poleigh Philipa		
lf yes, furnish the follo	Position or Connectio	n Poleigh Pinicipa		

(PAGE 3)

#### II - FOREIGN PRINCIPAL

If yes, turnish the foll	owing information:				
Foreign Principal				Date of Termina	tion
• .					
·					
•					
				• •	•
				•	
Have you acquired an If yes, furnish th follo		pal(s) <sup>2</sup> during this 6	month reporting p	period? Yes	No 🗵
Name and Address of	Foreign Principal(s)			Date Acquire	d ·
				•	
				•	
•					
				,	
	amed in Items 7 and 8	, if any, list foreign	principal(s)2 who	om you continued to repres	ent during the 6 mo
reporting period.					
Republic of Montene					
Government of Geor Taipei Economic & C					
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				,	
		·	•		· ·
). (a) Have you filed ex		-	ncipal(s), if any, l	isted in Item 8?	
Exhibit A <sup>3</sup> Exhibit B <sup>4</sup>	Yes □ Yes □	No □ No □			
	h the required exhibit				
II no, piease attac	in the required exhibit	·•		•	* * * *
				ny foreign principal whom	you
represented durin	ng this six month perio	od?	Yes ⊠	No 🗆	
•	filed an amendment to		Yes 🗵	No 🗆	

 <sup>2</sup> The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)
 3 The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.
 4 The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets fourth the information concerning the agreement or understanding between the registrant and the foreign principal.

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111	_	A	C	Γì	W	IT	IES

11.	During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement?  Yes  No
	If yes, identify each foreign principal and describe in full detail your activities and services:
	see Attachment A
12.	During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity <sup>5</sup> as defined below?
	Yes ⊠ No □
	If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.
	see Attachment A
13.	In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒
	If yes, describe fully.

<sup>5</sup> The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

#### **IV - FINANCIAL INFORMATION**

4. (a)		eporting period, have y other source, for or in	n the interests of a	any foreign principal n any such foreign princip No □			
	If no, explain why.		• ,		-		
					·		,
	If yes, set forth below	in the required detail a	and separately for	each foreign principal	an account o	f such monies.6	
	Date see Attachment B	From Whom		Purpose		Amount	
			•			•	
		. *	•				
	_						
				•			
	•		4			\$388,490.70	
	•					Total	
(b)	RECEIPTS - FUNDS During this 6 month re foreign principal name	porting period, have y	ou received, as p	art of a fundraising cam Yes □	paign <sup>7</sup> , any No ⊠	money on behalf o	f any
	If yes, have you filed a	n Exhibit D to your re	egistration?	Yes	No 🗌		
	If yes, indicate the date	e the Exhibit D was fil	led. Date				
(c)		porting period, have y		thing of value <sup>9</sup> other tha source, for or in the inte			
	If yes, furnish the follo	owing information:					*
•	Foreign Principal	Date	e Received	Thing of Value		Purpose	

<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

			•			•	(PAGE 6)
15. (a)	DISBURSEMEN During this 6 mont (1) disbursed or e	h reporting period	l, have you n connection with act	ivity on behalf of	any foreign princip	al named in Items	7, 8, or
		nent? Yes ⊠	No □	•	, , ,		, ,
	(2) transmitted me	onies to any such	foreign principal?	Yes 🗆	No ⊠		
	If no evoluin in fu	ll detail why there	e were no disbursemen	nts made on behalt	f of any foreign pri	acinal	
	ii iio, explain iii iu	ii detail wily there	e were no disoursemen	ints made on behan	of any foreign prin	icipai.	
					•		
	If yes, set forth bel monies transmitted	ow in the required, if any, to each fo	l detail and separately oreign principal.	for each foreign	orincipal an accoun	t of such monies,	including
	Date	To	Whom	· r	urpose	Amo	unt
	see Attachment				urpose		•111
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		Yes □	No 🗵			•
	If yes, furnish the f	following informa	ntion:			
	Date	Recipient	Foreign P	rincipal	Thing of Value	Purpose
				•	•	
	•					
			,			
				ŧ		
		*				•
			•			
		,				
(c)	other person, made	h reporting period any contribution	d, have you from yo s of money or other	our own funds an things of value	d on your own behalf ei in connection with an o s held to select candidate	
	7	Yes ⊠	No 🗆			,
	If yes, furnish the f	following informa	ation:		•	
	Date	Amount or T	hing of Value	Political Or	ganization or Candidate	Location of Event

<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

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#### V-INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting p	eriod, did you prepare, disseminate or cause to	be disseminated any informational materials?
If Yes, go to Item 17.	Δ.	
(b) If you answered No to Item 16(a	a), do you disseminate any material in connection	on with your registration?
Yes No No II  If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.  Identify each such foreign principal.		
17. Identify each such foreign principal.		. A
		·
1		
18. During this 6 month reporting period	d, has any foreign principal established a budge	et or allocated a specified sum of money to
finance your activities in preparing of	or disseminating informational materials?	Yes No No
If yes, identify each such foreign pri	incipal, specify amount, and indicate for what p	period of time.
•		
19. During this 6 month reporting period materials include the use of any of the	d, did your activities in preparing, disseminatin	g or causing the dissemination of information
	gazine or newspaper	☐ Letters or telegrams
☐ Advertising campaigns ☐ Pres	ss releases	ublications   Lectures or speeches
Other (specify)		
<b>Electronic Communications</b>		
☐ Email	•	
☐ Website URL(s):		·
		<u> </u>
Other (specify)		
20. During this 6 month reporting period the following groups:	d, did you disseminate or cause to be dissemina	ated informational materials among any of
☐ Public officials	☐ Newspapers	☐ Libraries
☐ Legislators	☐ Editors	☐ Educational institutions
☐ Government agencies	☐ Civic groups or associations	☐ Nationality groups
Other (specify)	·	
21. What language was used in the infor	rmational materials:	<u> </u>
☐ English		
	nit, U.S. Department of Justice a copy of each	item of such informational materials
disseminated or caused to be dissem	inated during this 6 month reporting period?	Yes □ No □
23. Did you label each item of such info	ormational materials with the statement required	d by Section 4(b) of the Act?

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

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#### VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)	e e	(Print or type name under each signature or pro	ovide electronic signature 1
December 21, 2011	· 	/s/ Randy Scheunemann	eSigned
December 21, 2011		/s/ Mike Mitchell	eSigned
December 21, 2011		/s/ Michael Goldfarb	eSigned
•			

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

#### ATTACHMENT A

11. During this 6 month period, have you engaged in any activities for or rendered any service to any foreign principal in Items 7, 8 and 9 of this statement? Yes

If yes, identify each such foreign principal and describe in full detail your activities and services:

The foreign principals are the Government of Georgia, as represented by the National Security Council in Tbilisi Georgia, the Government of Taiwan as represented by Taipei Economic & Cultural Representative Office, Washington D.C., The Republic of Montenegro through Paige Reffe, as represented by the Ministry of Foreign Affairs in Podgorica, Montenegro, Orion Strategies LLC has engaged in the following activities:

## Week of June 1 Georgia

- RANDY SCHEUNEMANN Meeting with Tim Morrison, Senator Kyl, and Georgian Ambassador Yakobashvili to discuss US- Georgian defense cooperation
- MICHAEL GOLDFARB Discussion with Jen Rubin (Washington Post) re Georgian security
- MICHAEL GOLDFARB Discussion with Des Butler (AP) re Vanuatu recognition of Abkhazia

## Week of June 6 Georgia

- RANDY SCHEUNEMANN Phone Call with Tim Morrison, (Sen. Kyl) to discuss US-Georgian defense cooperation
- RANDY SCHEUNEMANN Phone call with Chris Griffen (Sen. Lieberman) to discuss US-Georgian defense cooperation
- RANDY SCHEUNEMANN -Phone Call with Joe Donoghue, (Sen. McCain) to discuss US-Georgian defense cooperation

## Week of June 13 Taiwan

• MIKE MITCHELL- Telephone conversation with Randy Schriver, Project 2049, regarding testimony before Congress on Taiwan arms sales.

• MIKE MITCHELL - Telephone conversation with Dave Hanke, Office of Senator Cornyn, on Taiwan airpower report.

#### Georgia

• RANDY SCHEUNEMANN - Meeting with Maggie Goodlander (Sen. Lieberman) to discuss US-Georgia defense cooperation

#### Week of June 20

#### Taiwan

- MIKE MITCHELL Telephone conversation with Keith Luse, SFRC, on Locke nomination to be ambassador to China.
- MIKE MITCHELL Telephone conversation with Tom Moore, SFRC, on Locke nomination to be ambassador to China.

## Georgia

- RANDY SCHEUNEMANN Email exchange with Tim Morrison (Sen. Kyl) concerning US-Georgian defense cooperation
- RANDY SCHEUNEMANN Email exchange with Kari Bingen, House Armed Services Committee concerning Georgia-NATO cooperation

#### Week of June 27

#### Taiwan

- MIKE MITCHELL Meeting with Dan Blumenthal, American Enterprise Institute, to discuss Taiwan arms sales.
- MIKE MITCHELL Meeting with David Hanke, office of Senator Cornyn, to discuss congressional strategy to promote F-16 sale to Taiwan.

#### Georgia

- MICHAEL GOLDFARB Meeting with Joshua Keating (Foreign Policy) to discuss Georgian security/non-recognition policy
- MICHAEL GOLDFARB Email with Cameron Abadi (Foreign Policy) re statement from Ambassador Yakobashvilli

## Week of July 4 Georgia

• MICHAEL GOLDFARB - Arranged interview with Adam Kredo (Washington Jewish Week) for Ambassador Yakobashvili

## Week of July 11 Georgia

- MIKE MITCHELL Telephone conversation with Lydia Westlake, office of Senator DeMint to discuss support for Georgia resolution S. Res. 175.
- MICHAEL GOLDFARB Arranged meeting for Deputy FM Kapanadze with Jay Solomon (Wall Street Journal) canceled.
- MICHAEL GOLDFARB Arranged meeting for Shota Utiashvili with Jennifer Rubin (Washington Post)
- MICHAEL GOLDFARB Arranged meeting for Shota Utiashvili with Des Butler (AP)
- MICHAEL GOLDFARB Email with Matt Lee (AP) re possible meeting with Deputy FM Kapanadze
- MICHAEL GOLDFARB Email with Steve Hayes (Weekly Standard) re possible meeting with Deputy Defense Minister Nodar Kharshiladze
- MICHAEL GOLDFARB Arranged meeting for Nodar Kharshiladze with Josh Rogin (Foreign Policy)
- MICHAEL GOLDFARB Arranged meeting for Shota Utiashvili with Eli Lake (Washington Times)
- MICHAEL GOLDFARB Arranged meeting for Nodar Kharshiladze with Jamie Fly (Foreign Policy Initiative)
- RANDY SCHEUNEAMNN Phone conversations with Marik String and Tom Moore (Senate Foreign Relations Committee concerning US-Russian relations and US Georgian relations
- RANDY SCHEUNEMANN -Meeting with Brian Wanko, House Foreign Affairs Committee, to discuss Georgia's role in US-Russia relations
- RANDY SCHEUNEMANN Meeting with Maggie Goodlander, Sen. Lieberman, to discuss Georgia's role in US-Russia relations

#### **Taiwan**

- MIKE MITCHELL Telephone conversation with Charlie Keller, office of Rep. Sandy Adams to discuss Taiwan F-16 sale and jobs impact in Florida to set up meeting.
- MIKE MITCHELL Meeting with Charlie Keller, office of Rep. Sandy Adams to discuss Taiwan F-16 sale and impact on jobs.
- MIKE MITCHELL Telephone conversation with William Wan, the Washington Post, on the Burns nomination hold and the Taiwan jet sale.

• MIKE MITCHELL - Telephone conversation with Josh Rogin, The Cable, on the F-16 sale to Taiwan.

## Week of July 18 Georgia

- MIKE MICHELL Telephone conversation with Marik Strang, SFRC, for update on Georgia resolution S. Res. 175.
- MIKE MITCHELL Telephone conversation with Marik Strang, SFRC, for update on Georgia resolution. S. Res. 175
- MICHAEL GOLDFARB Discussion with Dan Halper (Weekly Standard) re Georgian security
- RANDY SCHEUNEAMNN Meeting with Deputy Minister of Defense Nodar
  Kharshiladze, Deputy National Security Adviser Batu Kutelia with Tim Morrison (Sen.
  Kyl), Maggie Goodlander (Sen. Lieberman), Chris Socha (Sen. Risch), Chris Brose (Sen.
  McCain), Richard Goldberg (Sen. Kirk), Matt Rimkunas, (Sen. Graham), Marik String
  (Sen. Foreign Relations Committee), and Rob Soufer (Sen. Kyl) to discuss US-Georgian
  defense cooperation.
- RANDY SCHEUNEMANN -Meeting with Tim Morrison, Sen. Kyl, to discuss US-Georgian defense cooperation
- RANDY SCHEUNEMANN Phone call with Marik String, Senate Foreign Relations Committee, to discuss US-Georgia defense cooperation

#### Taiwan

• MIKE MICHELL - Telephone conversation with Dave Hanke, office of Senator Cornyn, on Burns nomination at State.

## Week of July 25

#### Taiwan

- MIKE MITCHELL Telephone conversation with Josh Rogin, The Cable, on Taiwan F-16 sale.
- MIKE MITCHELL E-mail with Dave Hanke, office of Senator Cornyn, on Burns nomination at State.

#### Georgia

- MICHAEL GOLDFARB Discussion with Eli Lake re Georgian security
- MICHAEL GOLDFARB Emailed Mike Allen (Politico) re Georgia security
- MICHAEL GOLDFARB Emailed Ben Smith re Georgian security
- MICHAEL GOLDFARB Email with Charlie Hurt (New York Post) re Georgia security
- MICHAEL GOLDFARB Emailed Dan Halper (Weekly Standard) re Georgian security
- MICHAEL GOLDFARB Emailed Matt Lee (AP) re Georgian security
- MICHAEL GOLDFARB Arranged interview between Shota Utiashvili and Eli Lake (Washington Times)
- MICHAEL GOLDFARB Emailed Dan Halper (Weekly Standard) re Georgian security
- RANDY SCHEUNEMANN Phone Conversation with Marik String, (Senate Foreign Relations) on S. Res. 175 concerning Georgia
- RANDY SCHEUNEMANN Emails with Tim Morrison (Sen. Kyl) concerning status of S. Res. 175 on Georgia

## Week of August 1 Georgia

- MICHAEL GOLDFARB Emailed Ben Smith (Politico) re US Senate resolution on Georgia
- MICHAEL GOLDFARB Emailed Charlie Hurt (New York Post) re US Senate resolution on Georgia

## Week of August 8 Georgia

- MIKE MITCHELL Telephone discussion with Chris Griffin, office of Senator Lieberman, on Georgia arms sales and Russian invasion anniversary.
- MIKE MITCHELL Telephone conversation with Reb Brownell, office of Senator McConnell, on anniversary of Russian invasion of Georgia.
- MICHAEL GOLDFARB Email to Matt Lee (AP) re anniversary of 2008 Russia-Georgia war.
- MICHAEL GOLDFARB Email to Elise Labott (CNN) re anniversary of 2008 Russia-Georgia war.

## Week of August 15 Georgia

 MICHAEL GOLDFARB - Emailed with Andrew Brent (Office of Mayor Bloomberg) re Georgia 9/11 documentary

#### Week of August 22

No contacts

## Week of August 29 Taiwan

 MIKE MITCHELL -E-mail discussion with Dave Hanke on legislative strategy on Taiwan arms sales.

#### Georgia

- MICHAEL GOLDFARB Meeting with John Shiffman (Philadelphia Inquirer) re nuclear smuggling
- MICHAEL GOLDFARB Email with Tom Bevan (Real Clear Politics) re Georgia polling data

# Week of September 4 Taiwan

- MIKE MITCHELL -E-mail discussion with Dave Hanke, office of Senator Cornyn, on legislation mandating the sale of F-16 aircraft to Taiwan.
- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on legislation mandating the sale of F-16 aircraft to Taiwan.
- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on legislation mandating the sale of F-16 aircraft to Taiwan.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, on legislation mandating the sale of F-16 aircraft to Taiwan.
- MIKE MITCHELL Telephone conversation with Tom Moore, office of Senator Lugar, on arms sales to Taiwan.

#### Georgia

• RANDY SCHEUNEMANN - Phone conversation with Chris Brose, (Sen. McCain) concerning US-Georgia defense cooperation

## Week of September 12 Taiwan

- MIKE MITCHELL -E-mail discussion with Dave Hanke, office of Senator Cornyn, on S. 1539.
- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on S. 1539 regarding congressional outreach.
- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on S. 1539 regarding congressional outreach.
- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on S. 1539 regarding congressional outreach.
- MIKE MITCHELL E-mail with Victoria Woodbury, office of Senator Menendez, on S. 1539 regarding supporting this bill.
- MIKE MITCHELL Telephone conversation with Tony McClain, office of Senator Tester, on S. 1539 regarding supporting this bill.
- MIKE MITCHELL Telephone conversation with Chris Griffin, office of Senator Lieberman, on S. 1539 regarding supporting the bill.
- MIKE MITCHELL Telephone conversation with Ethan Saxon, office of Senator Blumenthal, on S. 1539 regarding supporting the bill.
- MIKE MITCHELL Telephone conversation with Karen Kunze, office of Senator Johnson, on S. 1539 regarding supporting the bill.
- MIKE MITCHELL Telephone conversation with Tom Moore, office of Senator Lugar, on S. 1539 regarding supporting the bill.
- MIKE MITCHELL E-Mail to Josh Rogin, The Cable, on the introduction of S. 1539.
- MIKE MITCHELL Telephone conversation with Josh Rogin, The Cable, on the introduction of S. 1539.

#### Georgia

- MICHAEL GOLDFARB Discussion with Ariel Cohen (Heritage) re MP Giorgi Kandelaki visit to US
- MICHAEL GOLDFARB Arranged interview with Eli Lake (Newsweek) for President Saakashvili
- MICHAEL GOLDFARB Arranged interview for Tamara Chergoleishvili with Bill Kristol (Weekly Standard)
- MICHAEL GOLDFARB Arranged dinner for Josh Rogin (Foreign Policy), Eli Lake (Newsweek), Tim Morison (HASC), MP Giorgi Kandelaki, Misha Darchiashvili, Vice Chairman of Parliament Giorgi Tsereteli to discuss Russia WTO
- RANDY SCHEUNEMANN Phone conversation with Chris Socha (Sen. Risch) concerning US-Georgia defense cooperation

Week of September 19 Taiwan

- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on S. Amendment 634 regarding congressional outreach.
- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on S. Amendment 634 regarding congressional outreach.
- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on S. Amendment 634 regarding congressional outreach.
- MIKE MITCHELL E-mail discussion with Dave Hanke, office of Senator Cornyn, on S. Amendment 634 regarding congressional outreach.
- MIKE MITCHELL E-mail with Victoria Woodbury, office of Senator Menendez, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL E-mail with Tony McClain, office of Senator Tester, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL Telephone conversation with Tony McClain, office of Senator Tester, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL Telephone conversation with Chris Griffin, office of Senator Lieberman, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL E-mail to Chris Griffin, office of Senator Lieberman, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL E-mail to Ethan Saxon, office of Senator Blumenthal, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL Telephone conversation with Ethan Saxon, office of Senator Blumenthal, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL Telephone conversation with Karen Kunze, office of Senator Johnson, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL E-mail with Karen Kunze, office of Senator Johnson, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL Telephone conversation with Tom Moore, office of Senator Lugar, on S. Amendment 634 regarding supporting the amendment.
- MIKE MITCHELL Telephone conversation with Josh Rogin, the Cable, on the sale of F-16s to Taiwan.
- MIKE MITCHELL Telephone conversation with William Wan on the sale of F-16s to Taiwan.
- MIKE MITCHELL Telephone conversation with Joanne McLaughlin, office of Senator Manchin, on S. Amendment 634 regarding supporting the amendment.

#### Georgia

• MICHAEL GOLDFARB - Arranged Cavuto (Fox News) interview for Saakashvili, contact Annie Goodman/Michele Nunes

• RANDY SCHEUNEMANN -Meeting with Eli, Lake, Newsweek, to arrange interview with Georgian President Saakashvili

## Week of September 26

#### **Taiwan**

- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, on post-vote briefing on F-16 sale amendment.
- MIKE MITCHELL Telephone conversation with Jamie McCormack, HIRC, on Taiwan hearing.

#### Georgia

 MICHAEL GOLDFARB - Arranged interview for Josh Rogin (Foreign Policy) with National Security Adviser Giga Bokeria

#### Week of October 3

#### **Taiwan**

- MIKE MITCHELL Meeting with Dave Hanke, office of Senator Cornyn, to discuss drafting language for possible amendment to defense bill on selling F-16s to Taiwan.
- MIKE MITCHELL Telephone discussion with Dave Hanke, office of Senator Cornyn, to discuss strategies for building support for F-16 sale.
- MIKE MITCHELL Telephone contact with Joel Starr, office of Senator Inhofe, on statements of support for F-16 sale.

#### Montenegro

• RANDY SCHEUNEMANN - Meetings with Sue Brown, and Phil Reeker, Department of State, to discuss developments in Montenegro's EU candidacy and relations with the US.

#### Georgia

• RANDY SCHEUNEMANN - Phone conversation with Jackson Diehl, Washington Post, on Georgia and Russia's WTO membership

#### Week of October 10

#### Taiwan

- MIKE MITCHELL Meeting with Dan Conston, Office of Rep. Roskam, to provide briefing materials prior to Roskam visit to Taiwan.
- MIKE MITCHELL Telephone conversation with Dan Conston, Office of Rep. Roskam, to provide briefing materials prior to Roskam visit to Taiwan.
- MIKE MITCHELL E-mail to Dave Hanke, Office of Senator Cornyn, to discuss updates on the F-16 sale to Taiwan.
- MIKE MITCHELL Telephone conversation with Keith Luse, SFRC, on Taiwan arms sales and F-16 update.

#### Georgia

- MICHAEL GOLDFARB Arranged interview for Eli Lake (Newsweek) with Deputy FM Sergi Kapanadze.
- RANDY SCHEUNEMANN Meeting with Chris Socha, (Sen. Risch) to discuss Georgia developments and Mike McFaul nomination to be US Ambassador to Russia

#### Montenegro

• MICHAEL GOLDFARB - Arranged interview for Josh Rogin (Foreign Policy) with Montenegrin Prime Minister

## Week of October 17 Taiwan

- MIKE MITCHELL Telephone conversation with Dave Hanke, Office of Senator Cornyn, on defense bill developments and congressional outreach on S. 1539.
- MIKE MITCHELL Telephone conversation with Joel Starr, Office of Senator Inhofe, on F-16 sale to Taiwan.
- MIKE MITCHELL Telephone conversation with Victoria Woodbury, office of Senator Menendez, on F-16 sale to Taiwan.
- MIKE MITCHELL Telephone conversation with Dan Conston, Office of Rep. Roskam, for update on Member visit to Taiwan.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, on Roskam visit to Taiwan and support for arms sales.
- MIKE MITCHELL E-mail exchange with Dan Conston, office of Rep. Roskam, on Roskam traves in Taiwan.

#### Week of October 21

#### **Taiwan**

- MIKE MITCHELL Meeting with Dave Hanke, Office of Senator Cornyn, to discuss strategy for moving S. 1539 as part of the defense bill.
- MIKE MITCHELL Telephone conversation to update Dave Hanke, Office of Senator John Cornyn, on stakeholder outreach to S. 1539.
- MIKE MITCHELL E-mail to Dave Hanke, Office of Senator Cornyn, to provide update on media strategy for S. 1539.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, to discuss developments to defense bill and Taiwan.

#### Georgia

- MICHAEL GOLDFARB Discussion with Elliott Abrams, CFR, to discuss Georgia security
- MICHAEL GOLDFARB Arranged interview Sergi Kapanadze with Josh Rogin (Foreign Policy)
- MICHAEL GOLDFARB Email with Matt Lee (AP) re Russia WTO
- MICHAEL GOLDFARB Arranged interview between Ben Birnbaum (Washington Times) with Speaker of Parliament David Bakradze
- MICHAEL GOLDFARB Emailed Jeff Jacoby (Boston Globe) re interview w/David Bakradze
- RANDY SCHEUNEMANN Meeting with Reb Brownell (Sen. McConnell) to discuss US Georgia relations
- RANDY SCHEUNEMANN Meeting with Dave Hanke (Sen, Cornyn) to discuss US Georgia relations
- RANDY SCHEUNEMANN Meeting and emails with Maggie Goodlander and Vance Serchuk (Sen. Lieberman) to discuss Georgia and Russia's WTO membership

#### Week of October 31 Taiwan

- MIKE MITCHELL E-mail to Dave Hanke, Office of Senator Cornyn, on congressional strategy to support the sale of F-16s to Taiwan
- MIKE MITCHELL Meeting with Dave Hanke, Office of Senator Cornyn, to discuss strategic options to support the sale of F-16 aircraft to Taiwan
- MIKE MITCHELL E-mail to Dave Hanke, office of Senator Cornyn, on congressional strategy to support the sale of F-16 aircraft to Taiwan
- MIKE MITCHELL Telephone conversation with Keith Luse, SFRC, updating the status of F-16 sale to Taiwan.

- MIKE MITCHELL Telephone conversation with Jamie McCormick to discuss House action on Taiwan Policy act and Taiwan Airpower Modernization Act.
- MIKE MITCHELL E-mail conversation with Johnny Kaberle, Johnnie, office of Rep. Kay Granger, to discuss building support for HR 2992.

#### Georgia

- MICHAEL GOLDFARB Discussion with Jamie Fly (FPI) re Georgian domestic politics
- MICHAEL GOLDFARB Arranged interview for Eli Lake (Newsweek) with Shota Utiashvili
- RANDY SCHEUNEMANN Dinner discussion with Tim Morrison (House Armed Service) and Chris Socha (Sen. Risch) to discuss Georgia's NATO candidacy in 2012
- RANDY SCHEUNEMANN -Meeting with Jen Stewart, (Speaker Boehner) to discuss US-Russia relations and Georgia

## Week of November 7 Taiwan

- MIKE MITCHELL E-mail to Dave Hanke, office of Senator Cornyn, on status of defense bill and adding Taiwan language to the bill.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, on offering amendment to the defense bill mandating the sale of F-16s to Taiwan.
- MIKE MITCHELL E-mail to Dave Hanke, office of Senator Cornyn, on status of defense bill and adding Taiwan language to the bill.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, on offering amendment to the defense bill mandating the sale of F-16s to Taiwan.
- MIKE MITCHELL Telephone conversation on Cornyn amendment to the defense bill mandating the sale of F-16s to Taiwan.
- MIKE MICHELL Telephone conversation with Jamie McCormick, HFAC, on markup of HR 2918, HR 2992 and building support for these bills.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, on Lippert nomination and confirmation hearing.

#### Georgia

 RANDY SCHEUNEMANN - Emails with Chris Brose, (Sen. McCain) to discuss normalizing US-Georgian security relations

## Week of November 14 Taiwan

- MIKE MITCHELL E-mail to Josh Rogin (The Cable) to update him on state of play
  with regard to Taiwan arms sa E-mail to Josh Rogin (The Cable) to update him on state
  of play with regard to Taiwan arms sale/F-16 sale and HFAC action on two Taiwan bill
  to be passed out of committee.
- MIKE MITCHELL E-mail to Dave Hanke, office of Senator Cornyn, on status of defense bill and adding Taiwan language to the bill.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, on offering amendment to the defense bill mandating the sale of F-16s to Taiwan.
- MIKE MITCHELL Telephone conversation with William Wan, Washington Post, on Taiwan arms sales and F-16s.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn on status of amendment on defense bill.
- MIKE MITCHELL E-mail exchange with Johnnie Kaberle, office of Representative Kay Granger, on amendments to H.R. 2992.
- MIKE MITCHELL Telephone conversation with Dave Hanke, Office of Senator John Cornyn, on House developments on F-16 sale in the House.

#### Georgia

- MICHAEL GOLDFARB Email with Marc Caputo (Miami Herald) re Georgian nonrecognition policy
- MICHAEL GOLDFARB Discussion with Daniel Halper (Weekly Standard) re 2008 war
- MICHAEL GOLDFARB Discussion with Jen Rubin (Washington Post) re 2008 war
- MICHAEL GOLDFARB Discussion with Seth Mandel (Commentary) re Georgian security
- RANDY SCHEUNEMANN Emails with Rich Goldberg to discuss Georgia question for Ambassador-designate Mike McFaul

#### Montenegro

- MIKE MITCHELL Telephone conversation with Barbara Harper, office of Rep. Hastings, on possible meeting with Montenegro Speaker of Parliament.
- MIKE MITCHELL Telephone conversation with Michael Ochs, Congressional Commission on Security Cooperation Europe, on possible Cardin meeting with Montenegro Speaker of Parliament.
- MIKE MITCHELL Telephone conversation with Anna Levin, office of Senator Snowe, on possible meeting with Montenegro Speaker of Parliament.

• MIKE MITCHELL - Telephone conversation with Bethany Scully, office of Senator Collins, on possible meeting with Montenegro Speaker of Parliament.

## Week of November 21 Taiwan

- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn on status of amendment on defense bill.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn on status of amendment on defense bill.
- MIKE MITCHELL Meeting with Dave Hanke, office of Senator Cornyn, on coordinating senate outreach on F-16 amendment to defense bill.
- MIKE MITCHELL E-mail to Tony McClain, office of Senator Tester, on importance of F-16 sale to Taiwan.
- MIKE MITCHELL E-mail to Ann Premer, office of Senator Ben Nelson, on Importance of F-16 sale to Taiwan.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn on status of amendment on defense bill.

## Week of November 28 Taiwan

- MIKE MITCHELL Meeting with Dave Hanke, office of Senator Cornyn, to discuss outreach on Taiwan F-16 amendment.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, to discuss F-16 jet sale.
- MIKE MITCHELL Telephone conversation with Dave Hanke, office of Senator Cornyn, to discuss F-16 jet sale
- MIKE MITCHELL E-mail to Dave Hanke, office of Senator Cornyn, discussing F-16 jet sale.
- MIKE MITCHELL Telephone conversation with Victoria Woodbury, office of Senator Menendez, on amendment to sell F-16s to Taiwan.

#### Georgia

• MICHAEL GOLDFARB - Arranged interview for Jamie Kirchick (Tablet) with Giga Bokeria

- MICHAEL GOLDFARB Discussion with Ben Birnbaum (Washington Times) re Georgian domestic politics
- MICHAEL GOLDFARB Discussion with Eli Lake (Newsweek) re Georgian domestic politics
- MICHAEL GOLDFARB Discussion with Rich Goldberg (Sen. Kirk) re Georgian security
- MICHAEL GOLDFARB Arranged interview for Eli Lake (Newsweek) with Giga Bokeria
- MICHAEL GOLDFARB Emailed Jamie Kirchick re Georgia-Israel relations
- RANDY SCHEUNEMANN Emails with Chris Brose, (Sen. McCain) to discuss normalizing US-Georgian security relations

#### ATTACHMENT B

14(A) During this 6 month reporting period, have you received from any foreign principal in Items 7,8 and 9 of this statement, of from any other source, for or in the interests of any foreign principal, any contributions, Income or money either as compensation or otherwise? Yes

Taipei Economic & Cultural Representative Office

Monies – receipts

6/21/2011	Taipei Economic & Cultural Representative Office	Retainer	\$13,000.00
7/13/2011	Taipei Economic & Cultural Representative Office	Retainer	\$13,000.00
8/16/2011	Taipei Economic & Cultural Representative Office	Retainer	\$13,000.00
9/19/2011	Taipei Economic & Cultural Representative Office	Retainer	\$13,000.00
		Total	\$52,000.00

## Republic of Montenegro through Paige Reffe

Monies - receipts

6/9/2011	Paige Reffe	Retainer	\$37,500.00
8/12/2011	Paige Reffe	Retainer & Travel	\$41,510.22
11/28/2011	Paige Reffe	Retainer & Travel	\$44,139.10
		Total	\$123,149.32

#### Government of Georgia, National Security Council

Monies – receipts

6/20/2011	Government of Georgia, National Security Council	Film Production	\$2,000.00
8/1/2011	Government of Georgia, National Security Council	Retainer	\$105,000.00
10/3/2011	Government of Georgia, National Security Council	Retainer & Travel	\$106,341.38
		Total	\$213,341.38

TOTAL

<u>\$388,490.70</u>

#### Attachment C

## 15(A) during this 6 month reporting period, have you

- (1) Disbursed or expanded monies in connection with activity on behalf of any foreign principal named in Items 7, 8 or 9 of this statement? Yes
- (2) Transmitted monies to any such foreign principal? No

## Taipei Economic & Cultural Representative Office

#### Monies – disbursements

6/2011	FARA Registration	\$305.00
6/2011	Taxis	\$80.00
7/2011	Taxis	\$120.00
9/2011	Taxis	\$60.00
10/2011	Taxis	\$80.00
11/2011	Taxis	\$40.00
	Total	\$685.00

## Republic of Montenegro through Paige Reffe

#### Monies – disbursements

	Total	\$7047.31
11/2011	Courier Services	\$43.21
	10/10/2011	·
	IAD – TGD 10/5 –	
10/2011	RT airfare: R. Scheunemann	\$6639.10
10/2011	Taxis	\$40.00
7/2011	Taxi	\$20.00
6/2011	FARA Registration	\$305.00

### Government of Georgia, National Security Council

#### Monies-disbursements

6/2011	FARA Registration	\$305.00
6/2011	Taxis	\$40.00
7/2011	Taxis	\$60.00
9/2011	Taxis	\$140.00
9/2011	Dinner: Oceanaire Restaurant,	\$487.14
	M. Goldfarb, Rogin (Foreign	
·	Policy), Eli Lake (Newsweek),	·
	Tim Morison (HASC), MP	·
	Giorgi Kandelaki, Misha	
	Darchiashvili, Vice Chairman	2.3
	of Parliament Giorgi Tsereteli,	*
	9/16/2011	
9/2011	M. Goldfarb: Amtrak RT DC-	\$324.00

	NYC, 9/23 – 9/24/11	
9/2011	R. Scheunemann: Amtrak RT	\$371.00
	DC- NYC, 9/23 -9/24/11	
9/2011	M. Goldfarb & R.	\$646.38
	Scheunemann Park Central	
	Hotel, NYC, 9/23-9/24/2011	
10/2011	Taxis	\$80.00
10/2011	Dinner: M. Goldfarb & Eliott	\$122.19
	Abrams (Council on Foreign	·
	Relations) at I Ricchi,	
	10/24/11	
11/2011	Taxis	\$60.00
	Total	\$2635.71

Total

<u>\$10,368.02</u>

## Exhibit D

#### Disbursements - Political Contributions

During this 6 month reporting period, have you from your own funds on your own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Randy	Scheun	emann

Tim Pawlenty Tom Cotton John McCain	Pawlenty for President Cotton for Congress Country First	\$1000.00 \$500.00 \$1000.00
Justin Bernier	Justin Bernier for Congress	\$300.00
Adam Hasner	Adam Hasner for Senate	\$100.00
Tim Pawlenty	Pawlenty for President	\$250.00
John McCain	Country First	\$500.00
•	-	•
	Tom Cotton John McCain  Justin Bernier  Adam Hasner	Tom Cotton John McCain  Cotton for Congress Country First  Justin Bernier  Justin Bernier for Congress  Adam Hasner  Adam Hasner for Senate